



# LEVEL FOUR GROUP BRANDS

EACH A DIVISION OF CARR, RIGGS & INGRAM CAPITAL, LLC OR A LEVEL FOUR GROUP ENTITY DBA



**LEVEL FOUR**  
Advisory Services  
A DIVISION OF  
CARR, RIGGS & INGRAM CAPITAL, LLC

**ADVISORY**



**LEVEL FOUR**  
Financial  
A DIVISION OF  
CARR, RIGGS & INGRAM CAPITAL, LLC

**SECURITIES**



**LEVEL FOUR**  
Capital Management  
A DIVISION OF  
CARR, RIGGS & INGRAM CAPITAL, LLC

**CAPITAL MANAGEMENT**



**LEVEL FOUR**  
Insurance Agency  
A DIVISION OF  
CARR, RIGGS & INGRAM CAPITAL, LLC

**INSURANCE SOLUTIONS**



**LEVEL FOUR**  
Business Solutions  
A DIVISION OF  
CARR, RIGGS & INGRAM CAPITAL, LLC

**BUSINESS SOLUTIONS**



**LEVEL FOUR**  
SPORTS & ENTERTAINMENT

**SPORTS & ENTERTAINMENT**

**FOUNDED IN 2000**

**NATIONAL FOOTPRINT**

**80+**  
BRANCH OFFICES

**10,000+**  
HOUSEHOLDS SERVED

**150+**  
FINANCIAL ADVISORS

**100+**  
SUPPORT STAFF

**35+**  
PROFESSIONAL<sup>1</sup>  
DESIGNATIONS

**\$9.4B+**  
ASSETS UNDER<sup>2</sup>  
CONTROL

**1,200+**  
INSURANCE AGENTS

**\$248M+**  
ANNUAL INSURANCE  
PREMIUM<sup>3</sup>

**100+**  
INSURANCE CARRIERS

**37<sup>TH</sup>**  
LARGEST BROKER  
DEALER<sup>4</sup>

**TOP 4**  
% OF WOMEN  
PRODUCERS<sup>4</sup>

**TOP 3**  
RECRUITING  
GROWTH<sup>4</sup>

**Ranked 12th by AUM in Accounting Today's 2020 Wealth Magnets Ranking<sup>5</sup>**

<sup>1</sup>Designations represent those approved and held by advisors across the organization current as of October 2023.

<sup>2</sup>Current as of October 2023 and is the combined Assets Under Control within Level Four Group, LLC, including Level Four Advisory Services, LLC, Level Four Capital Management, LLC, Level Four Insurance Agency LLC, and Level Four Financial Services, LLC.

<sup>3</sup>Based on total life insurance premium and annuities in 2023.

<sup>4</sup>IBD Elite: The 15 largest independent brokerages in wealth management Source: <https://www.financial-planning.com/list/ibd-elite-2023-the-15-largest-independent-brokerages>

# FIRM OVERVIEW

## FIRM FACTS



**2000**  
YEAR FOUNDED



**80+**  
BRANCH OFFICES



**150+**  
FINANCIAL ADVISORS



**10,000+**  
HOUSEHOLDS SERVED



**TOP FIRMS**  
BY AUM  
2020 ACCOUNTING TODAY<sup>1</sup>



**\$9.4 BILLION**  
ASSETS UNDER CONTROL<sup>2</sup>



**\$284M+**  
ANNUAL INSURANCE PREMIUM<sup>3</sup>



**100+**  
INSURANCE CARRIERS

## OUR EXPERTISE



**29+** PROFESSIONAL  
DESIGNATIONS<sup>4</sup>



**9** SPECIALIZED  
PRACTICES

Financial Planning  
Asset Management  
Corporate Retirement Plans  
Insurance Services  
Business Services  
Advanced Planning  
Property & Casualty  
Private Client Services  
Sports & Entertainment



LEVELFOURFINANCIAL.COM | LEVELFOURADVISORS.COM | LEVELFOURINSURANCE.COM

Tracking #LFG-0622-2155

<sup>1</sup> *Accounting Today*. Data provided by Audit Analytics, a premium online intelligence service that delivers audit, regulator and disclosure analysis to the accounting community.

<sup>2</sup> Current as of December 31, 2021 and is the combined Assets Under Control within Level Four Group, LLC, including Level Four Financial, LLC, Level Four Advisory Services, LLC, and Level Four Capital Management, LLC.

<sup>3</sup> Based on total life insurance premium in 2022.

<sup>4</sup> Designations represent those approved and held by agents and employees across the organization current as of April 1, 2022.

Securities offered through Level Four Financial, LLC, member FINRA/SIPC. Advisory services offered through Level Four Advisory Services, LLC, an SEC-registered investment adviser. Insurance services offered through Level Four Insurance Agency, LLC. Asset Management offered through Level Four Capital Management, LLC, an SEC-registered investment adviser. Level Four Financial, LLC, Level Four Advisory Services, LLC, Level Four Insurance Agency, LLC, and Level Four Capital Management, LLC are separate but related entities under Level Four Group, LLC.

Level Four Group, LLC is a division of CRI Capital Group, LLC, a subsidiary of CRI Advisors, LLC. "CRI" is the brand name under which Carr, Riggs & Ingram, L.L.C. ("CPA Firm") and CRI Advisors, LLC ("Advisors") and its subsidiary entities provide professional services. CPA Firm and Advisors (and its subsidiary entities) practice as an alternative practice structure in accordance with the AICPA Code of Professional Conduct and applicable law, regulations and professional standards. CPA Firm is a licensed independent CPA firm that provides attest services to its clients, and Advisors and its subsidiary entities provide tax and business consulting services to their clients. Advisors and its subsidiary entities are not licensed CPA firms.