LEVEL FOUR® Financial
A DIVISION OF LEVEL FOUR GROUP, LLC

12400 Coit Road Suite 700
Dallas, TX 75251
866-834-1040 (office)
866-763-9136 (fax)

LFF154	
Form #	Account #
Branch #	FA#

INSURANCE & ANNUITY CHANGE OF BROKER DEALER/ AGENT AUTHORIZATION

Section 1: Annuity Ownership

Annuity Carrier Name: ________________________ Product Name: ________________________

Owner Name as it appears on statement: ________________________ Contact#: ________________________

Annuitant/Insured Name: ________________________ SS#: ________________________

Section 2: Change Request

A. Type of Contract: (select one)

☐ Variable Annuity ☐ Variable Life ☐ Structured/RILA

B. Type of Transaction:

☐ Broker /Dealer Change to Level Four Financial LLC

☐ Custodial Change (see Section 3)

☐ Agent Change (see Section 4)

Section 3: For Custodial Policies Only

Current/Releasing Custodian

Current Custodian: ________________________

Current Custodian Account Number: ________________________

Current Custodian Releasing Signature: ________________________ Date: ____________

Accepting/New Custodian

New Custodian: Raymond James & Associates Custodian FBO {ANNUITANT NAME} TIN: 59-1237041

New Custodian Account Number: ________________________

Accepting/New Custodian Signature: ________________________ Date: ____________

Annuitant Signature (client) ________________________ Date: ____________

Owner Signature (if client) ________________________ Date: ____________

Joint Owner Signature (if client) ________________________ Date: ____________

Financial Professional/Rep Signature: ________________________ Date: ____________

Authorized Broker/Dealer Signature: ________________________ Date: ____________

Section 4: Agent Information:

Be sure to confirm your appointment with the insurance carrier prior to submitting this request to Level Four Financial for processing.

Agent Information:

Financial Advisor Name	Last 4 SS#	%Split

Branch Mailing Address:

ALL Financial Advisors must be listed and the percentage allocations must equal 100%. Carriers require that the percentage are listed in whole amounts. This information must match the FA number connected to the RJ account number.