



LEVEL FOUR[®]

Financial
A DIVISION OF
LEVEL FOUR GROUP, LLC



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Advisory Services
A DIVISION OF
LEVEL FOUR GROUP, LLC

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LFF010

Form #

N/A

Account #

Branch #

FA #

End of the Month Checklist

Due by the 10th of the following month

The End of the Month Checklist has been moved to a JotForm. Please use the link below to complete this monthly requirement.

<https://form.jotform.com/levelfour/end-of-month-attestation>

Late Fee Policy: The EOM Packet is an important tool utilized by the firm to supervise its registered producing associates. **The EOM Packet is due on the 10th of each month.** Any EOM packet not returned by the 15th of the month will result in a \$50 fine to the advisor. The fine will continue to increase by \$50 for each additional week late until the packet is received. Please help us stay compliant by getting your EOM Packet turned in on time.

