

12400 Coit Road, Suite 700, Dallas TX 75251

Regulation No. 194 and Regulation No. 187 Producer Compensation Transparency

_____ is an insurance producer licensed by the State of New York. Insurance producers are authorized by their license to confer with insurance purchasers about the benefits, terms and conditions of insurance contracts; to offer advice concerning the substantive benefits of particular insurance contracts; to sell insurance; and to obtain insurance for purchasers. The role of the producer in any particular transaction typically involves one or more of these activities.

Compensation will be paid to the producer, based on the insurance contract the producer sells. Depending on the insurer(s) and the insurance contract(s) the purchaser selects, compensation will be paid by the insurer(s) selling the insurance contract or by another third party. Such compensation may vary depending on a number of factors, including the insurance contract(s) and the insurer(s) the purchaser selects. In some cases, other factors such as volume of business a producer provides to an insurer or the profitability of insurance contracts a producer provides to an insurer also may affect compensation.

The insurance purchaser may obtain information about compensation expected to be received by the producer based in whole or in part on the sale of insurance to the purchaser, and (if applicable) compensation expected to be received based in whole or in part on any alternative quotes presented to the purchaser by the producer, by requesting such information from the producer.

By signing this form, the insurance producer confirms that he/she believes that this annuity is in the best interest of the purchaser (client) and that the client is suitable for this investment.

By signing this form, the purchaser (client) confirms that he/she are suitable for the purchase of this annuity and that I/we believe it is in our best interest.

Client Signature

Date

Client Signature

Date

Client Signature

Date

Financial Advisor

Date

Securities offered by Level Four Financial, LLC, member, FINRA/SIPC.

Advisory Services offered by Level Four Advisory Services, LLC, an SEC registered investment advisor.

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