



LEVEL FOUR

Financial
A DIVISION OF
LEVEL FOUR GROUP, LLC

12400 Coit Road Suite 700
Dallas, TX 75251
866-834-1040 (office)
866-763-9136 (fax)

LFF154

Form #

Account #

Branch #

Branch #

FA#

FA#

INSURANCE & ANNUITY CHANGE OF BROKER DEALER/ AGENT AUTHORIZATION

Section 1: Annuity Ownership

Annuity Carrier Name: _____ Product Name: _____
Owner Name as it appears on statement: _____ Last 4 SS #: _____
Annuitant/Insured Name: _____ Contract #: _____

Section 2: Change Request

A. Type of Contract: (select one)

Variable Annuity Variable Life Structured/RILA Indexed, Fixed Index

B. Type of Transaction:

Broker /Dealer Change to Level Four Financial LLC Agent Change (Section 4 required) Custodial Change (Section 5 required)

Section 3: Change Broker Dealer

Add Level Four Financial, LLC as B/D and remove prior B/D. CRD #25700

Section 4: Agent Information

Be sure to confirm your appointment with the insurance carrier prior to submitting this request to Level Four Financial for processing.

Agent Information:

Financial Advisor Name	Last 4 SS#	%Split

Branch Mailing Address:

ALL Financial Advisors must be listed and the percentage allocations must equal 100%. Carriers require that the percentage are listed in whole amounts. This information must match the FA number connected to the RJ account number.

Section 5: For Custodial Policies ONLY

Current/Releasing Custodian

Current Custodian: _____
Current Custodian Account Number: _____
Current Custodian Releasing Signature: _____ Date: _____

Accepting/New Custodian

New Custodian: RJ Trust of Co NH - Custodian
New Custodian Account Number: _____
New Custodian Signature: _____ Date: _____

Owner Signature: _____ Date: _____
Joint Owner Signature: _____ Date: _____
Financial Advisor Signature: _____ Date: _____
Authorized Broker/Dealer Signature: _____ Date: _____