



LEVEL FOUR[®]
Financial
A DIVISION OF
LEVEL FOUR GROUP LLC

12400 Coit Road,
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LFF105
Form #

Account #

Branch #

FP#

Mutual Fund Switch Form

Solicited Unsolicited

Client Name: _____

(SELL) Existing Mutual Fund / UIT

Name of Fund	Class of Fund or N/A	Amount	Time Held

(BUY) Replacement of Mutual Fund / UIT

Name of Fund	Class of Fund or N/A	Amount	Time Held

The transaction to liquidate the existing mutual fund/UIT will include the following:

- _____ Back End Sales Charge (Class B) in the amount of \$ _____
- _____ Back End Sales Charge (Class C) in the amount of \$ _____
- _____ Other (please specify): _____

This transaction to purchase the replacement mutual fund/UIT will include the following:

- _____ Front End Sales Charge (Class A Shares) in the amount of \$ _____
- _____ Net Asset Value Exchange (Most companies allow for replacements)
- _____ Other (please specify): _____

Please state the reason for the transaction: _____

I hereby acknowledge that I will incur designated expenses as noted above in association with this transaction. Furthermore, I realize that this transaction may create a long or short term taxable event, thus possible causing tax liability for the year sold. In addition, if this is a Mutual Fund transaction, I have been informed that most Mutual Fund Companies allow exchanges among Mutual Funds within the same family of funds at little or no cost to me. If this is a UIT, I have been informed that during the deferred sales charge period, the "NAV" will be higher than the liquidation price. If I liquidate during this time, I will receive the liquidation price, which removes any outstanding deferred sales charge. Once the deferred sales charge period has ended, the NAV will equal the Liquidation Price.

Client Signature

Date

Client Signature

Date

For Internal Use Only

Form Instructions

The LFF105 addresses Mutual Fund/UIT Switch disclosure and suitability documentation.

Liquidations executed within a 90-day lookback that results in a load and/or a contingent deferred sales charge (CDSC) as defined below:

1. C share to C share with CDSC
2. C share to B share with CDSC
3. C share to A share with CDSC
4. B share to C share with CDSC
5. B share to B share with CDSC
6. B or C share to No load with CDSC
7. A share to C share if A share held less than 3 years
8. A share to B share if A share held less than 3 years
9. A share to A share if A share held less than 3 years
10. A share to No Load if A share held less than 3 years
11. No load to A Share if A share held less than 3 years

Particular attention is required for holding periods of 1 year or less, or switch to new product with the same portfolio objective.

Financial Professional Certification

I represent that my client has been advised of all material facts regarding this transaction. I further represent that I have reviewed the prospectus, statement of additional information, and other fund company/UIT literature and have discussed with my client all commission discount features for which he/she is eligible, including a transfer at NAV or reinstatement at NAV, if available.

Financial Professional Signature

Date