

Primary Rep. _____ Primary Branch # _____

FINANCIAL ADVISOR SPLIT CODE REQUEST

Complete all sections of the form including listing all corresponding roles and payout percentages for each advisor. The split rep code(s) assigned will be tied to the primary branch and primary advisor listed above. The completed form should be emailed to: NewAccounts@LevelFourFinancial.com.

Production Split Guidelines for Retail Advisors: 25% Introduction (intro), 25% Proposal (prop), 25% Close (close), 25% Service (serv) - each role should be assigned

Split Code Request 1: Raymond James Fidelity Charles Schwab Other _____

Relationship MGR. _____

Specialist: _____

Specialist: _____

Specialist: _____

Name	Intro	Prop	Close	Serv	Total %	Ongoing Role	Specialty
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Relationship MGR. _____

Specialist: _____

Specialist: _____

Specialist: _____

Name	Intro	Prop	Close	Serv	Total %	Ongoing Role	Specialty
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Relationship MGR. _____

Specialist: _____

Specialist: _____

Specialist: _____

Name	Intro	Prop	Close	Serv	Total %	Ongoing Role	Specialty
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If applicable, please list account numbers that should be moved to the new split code:

ADVISOR ACKNOWLEDGEMENT AND SIGNATURES

It is understood and acknowledged that all representatives assigned to the split rep code(s) reflected on this document share joint responsibility for the accounts associated with such split rep code(s). Instructions for client accounts associated with such split rep codes, including without limitation, financial advisor signatory authorizations on any required firm documents, may be received by any one of the representatives associated with a split rep code.

Advisor Name #1 _____ Advisor Signature: _____ Date: _____

Advisor Name #2 _____ Advisor Signature: _____ Date: _____

Advisor Name #3 _____ Advisor Signature: _____ Date: _____

Advisor Name #4 _____ Advisor Signature: _____ Date: _____

Approved Not Approved

CFO Signature (Required for Retail Advisors) _____ Date: _____