



LEVEL FOUR

Advisory Services

A DIVISION OF
LEVEL FOUR GROUP, LLC

LFA57216

Form #

Account#

Branch #

FA #

SEPARATE BUSINESS AND CONSENT TO USE TAX INFORMATION DISCLOSURES

A. DISCLOSURE THAT CARR, RIGGS & INGRAM AND LEVEL FOUR ADVISORY SERVICES ARE SEPARATE BUSINESSES

This disclosure letter is intended to confirm your understanding that services provided by Carr, Riggs & Ingram is an independent entity and is not affiliated with Level Four Advisory Services and/or Level Four Financial and its successors and assigns.

It is also your understanding that services provided by Carr, Riggs & Ingram are not related to any financial planning, securities and/or insurance services provided by Level Four Advisory Services and/or Level Four Financial and its successors and assigns.

All Contracts and/or Agreements held with Carr, Riggs & Ingram are bound by Carr, Riggs & Ingram and are not part of any agreement between Client and Level Four Advisory Services and/or Level Four Financial and its successors and assigns. For the Carr, Riggs & Ingram services offered, the undersigned client acknowledges that certain registered individuals may benefit only through Carr, Riggs & Ingram and not through Level Four Advisory Services and/or Level Four Financial for Carr, Riggs & Ingram business services offered.

The undersigned client acknowledges and agrees that Level Four Advisory Services and/or Level Four Financial has no control over or responsibility for the Carr, Riggs & Ingram business services offered away from Level Four Advisory Services and/or Level Four Financial to the undersigned client. The undersigned client further agrees to hold Level Four Advisory Services and/or Level Four Financial and its successors and assigns harmless from any and all liability that may arise from the offer and sale of any Carr, Riggs & Ingram business services provided through Carr, Riggs & Ingram.

Your signature below indicates that you understand that neither Level Four Advisory Services nor Level Four Financial has any interest in Carr, Riggs & Ingram.

B. CONSENT TO USE TAX INFORMATION

Federal law requires this consent form be provided to you. Unless authorized by law, we cannot disclose, without your consent, your tax return information for purposes other than the preparation and filing of your tax return. You are not required to complete this form to engage our tax return preparation services. If we obtain your signature on this form by conditioning our services on your consent, your consent will not be valid. Your consent is valid for the amount of time you specify. If you do not specify the duration of your consent, your consent is valid for one year from the date of signature. The purpose of this consent is to allow us to disclose your tax return information to Level Four Advisory Services and/or Level Four Financial and its directors and employees to assist in advising you on retirement plans, IRAs, the purchase or sale of investments, managed funds, risk management, and other financial matters.

We are not allowed by Federal law to use your tax information for any purpose other than to prepare your tax return unless you permit us by signing this statement. If you approve use of your tax return information by Carr, Riggs & Ingram, Level Four Advisory Services and/or Level Four Financial for a term of one year, please sign below. You agree that you have received a copy of this Agreement for your records.

Signature

Signature

Date

Printed Name

Plan Name

Date

Securities offered through Level Four Financial, LLC Member FINRA/SIPC. Advisory services offered through Level Four Advisory Services, LLC, an SEC-registered investment adviser. Insurance services offered through Level Four Insurance Agency, LLC. Carr, Riggs, & Ingram, LLC, Level Four financial, LLC, Level Four Advisory Services, LLC, and Level Four Insurance Agency, LLC are independent entities.

Level Four Group, LLC is a division of CRI Capital Group, LLC, a subsidiary of CRI Advisors, LLC. "CRI" is the brand name under which Carr, Riggs & Ingram, L.L.C. ("CPA Firm") and CRI Advisors, LLC ("Advisors") and its subsidiary entities provide professional services. CPA Firm and Advisors (and its subsidiary entities) practice as an alternative practice structure in accordance with the AICPA Code of Professional Conduct and applicable law, regulations and professional standards. CPA Firm is a licensed independent CPA firm that provides attest services to its clients, and Advisors and its subsidiary entities provide tax and business consulting services to their clients. Advisors and its subsidiary entities are not licensed CPA firms.